



ANTHONY A. WILLIAMS, MAYOR
ERIC PRICE, DEPUTY MAYOR FOR PLANNING AND ECONOMIC DEVELOPMENT
GOVERNMENT OF THE DISTRICT OF COLUMBIA

CONSOLIDATED **P**LAN **A**NNUAL **P**ERFORMANCE **E**VALUATION **R**EPORT

FISCAL YEAR 2004
DECEMBER 29, 2004



PRESENTED TO
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

STANLEY JACKSON, DIRECTOR
DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
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Application

U. S. Department of Housing and Urban Development (HUD) Consolidated Plan Management Process (CPMP)

A. Submission

3. ☒ **Annual Performance Report**

a. Timeframe covered is from 10/01/03 to 9/30/04

b. Year of Strategic Plan period for this submission: 1 ☐ 2 ☐ 3 ☐ 4 ☒ 5 ☐

4. Catalog of Federal Domestic

Assistance Numbers	Assistance Titles	Amounts of Application Requests
14-218	Community Development Block Grant (CDBG)	\$ 22,865,000
14-239	HOME Investment Partnership Act Grant (HOME)	\$ 9,179,000
14-231	Emergency Shelter Grant (ESG)	\$ 795,000
14-241	Housing Opportunities for Persons with Aids (HOPWA)	\$ 9,862,000

B. Applicant

1. Name: **District of Columbia**

2. Identifier:

3. Employer Identification Number (EIN): **53-6001131**

4. DUNS number: **072634306**

5. *Applicant is (choose one):*

- ☐ Local Government: City
☐ Local Government: County
☐ Consortia

☒ **State**

District of Columbia

6. U.S. Senators NONE

7. Names of Members of Congress for this jurisdiction Congressional Districts
The Honorable Eleanor Holmes Norton **the District of Columbia**

8. Applicant/Grantee Representative:

Name: Stanley Jackson

Title: Director, Department of Housing and Community Development Telephone

Number: (202) 442-7210

9. Certification

"To the best of my knowledge and belief, all data in this application are true and correct, the document has been duly authorized by the governing body of the applicant, and the applicant will comply with the attached assurances if the assistance is awarded."

Signed : Stanley Jackson, Director

Date signed: December 29, 2004

10. Contact Person for matters involving this application:

Name: Kay C. McGrath

Title: Special Assistant to the Director (DHCD)

Telephone: (202) 442-7276; Fax Number: (202) 442-9280;

E-mail Address: kay.mcgrath@dc.gov

C. For HUD Use Only

1. Is applicant delinquent on any Federal debt? ☐ yes ☒ no

2. Is application subject to review by State Executive Order 12372 process?

This application was made available to the E.O. 12372 process for review (date):

☐ No. This program is not covered by E.O. 12372.

☐ N/A. This program has not been selected by the State for review.

3. Date Plan submitted December 2004

4. Date Plan Review due (45 days from HUD CPD receipt)

5. Date Plan Review completed

6. Plan approved ☐ yes Date

or

☐ no Date

Extension granted ☐ yes ☐ no Date

Quantity of days extended

Explanation

Date Grantee signed off

Date HUD signed off

Recommended actions

7. Reviewing offices (check those that will be reviewing):

☐ FOD

☐ FHEO

☐ SF

☐ M

☐ CPD Relocation

☐ CPD FA

☐ CPD CPS

☐ CPD EO

☐ IG

☐ OGC

☐ Other

8. Check any of the following that have been included in this submission:

SF 424 in original signed hardcopy

Certifications

Electronic version

Original signed hardcopy

Maps

☒ **Electronic version**

Original signed hardcopy

Databases

Electronic version

Original signed hardcopy

☐ ☒ **Public comments**

☐ ☒ **Replies to public comments**

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CAPER Checklist

The following checklist identifies the required elements of the CAPER, as defined in 24 CFR 91.520.

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<input type="checkbox"/> Evidence of public notice for CAPER Review	86
<input type="checkbox"/> Assessment of progress toward five-year goals	7
<input type="checkbox"/> Assessment of progress toward one-year goals	9-11; 21-25
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• Actions to plan and/or implement continuum of care.....	60-67
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• eliminate barriers to affordable housing	21-24
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• facilitate PHA participation/role	32
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<input type="checkbox"/> Low/moderate income benefit	43-44, 48
<input type="checkbox"/> Amendments and other changes to programs	--
<input type="checkbox"/> Completion of planned actions to:	48
• pursue all resources identified in plan	same
• certify consistency for local applicants for HUD funds.....	
• support Consolidated Plan goals	7-13; 18-20
<input type="checkbox"/> National objective failures, if any	None reported
<input type="checkbox"/> Actions taken to avoid displacement.....	50

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<input type="checkbox"/> Compliance with URA.....	50
<input type="checkbox"/> If jobs were filled with over income people	No info.
• What was done to give low/moderate income first priority?	No info. available
• List job titles created/retained and those made available to low/mods..	No info. available
• Describe training for low/moderate income persons
<input type="checkbox"/> For limited clientele activities, if any:	
• The nature of the group that allows assumption of more than 51% low/mod	None reported
<input type="checkbox"/> Rehabilitation accomplishments and costs	42-44;47-49
• units completed for each type of program	same
• CDBG expenditures for rehabilitation	same
• other funds invested.....	same
• delivery costs
<input type="checkbox"/> Neighborhood Revitalization Strategy area, if any:	80-84
• progress against established benchmarks	same
<input type="checkbox"/> CDBG Financial Summary Attachments:	Appendix E, Reports
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• Program income, adjustments and receivables	Same
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<input type="checkbox"/> Contracting opportunities for M/WBES	Appendix E, Reports
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<input type="checkbox"/> Improvements needed to overcome failures	Same
<input type="checkbox"/> Description of local compliance and monitoring procedures.....	Same
<input type="checkbox"/> Describe leveraging and coordination with other local groups.....	Same

IDIS REPORTS

A complete CAPER requires that all data for the program year be entered into IDIS. It is Department policy that IDIS data be updated at least quarterly. Grantees are not required to submit IDIS reports to HUD but must make information about accomplishments, progress and finances available to the public as part of the citizen participation process.

I INTRODUCTION AND EXECUTIVE SUMMARY

INTRODUCTION:

The U.S. Department of Housing and Urban Development (HUD) requires each of its federal entitlement grant recipients to file an annual Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER reports on the District of Columbia's use of funds from four entitlement grants: The Community Development Block Grant (CDBG), Home Investment Partnership Grant Program (HOME) (with the new ADDI program within HOME), Emergency Shelter Grant (ESG), and the Housing Opportunities for Persons with AIDS Grant (HOPWA). The CAPER must be submitted to HUD within 90 days after the close of the grantee's fiscal year and after a 15-day public comment and review period.

The Department of Housing and Community Development (DHCD) is the District's lead agency charged with providing HUD with the CAPER report containing the information needed to assess the District's ability to carry out its programs. It presents operational information on the District's activities to the general public in the District of Columbia as well as to the Congress. The FY 2004 CAPER includes Tables demonstrating FY 2004 progress in meeting the goals the Department set at the start of the fiscal year; a summary of its progress in meeting its five-year goals, sources and uses of funds, information on DHCD initiatives, such as its fair housing, lead safety and public education and outreach efforts; and descriptions of other activities that will enable the public to fairly judge DHCD's performance in fulfilling its housing and community development mission.

MISSION:

The mission of the Department of Housing and Community Development (DHCD) is to be a catalyst in neighborhood revitalization by strategically leveraging public funds with private and non-profit partners for low-to-moderate income D.C. residents in order to promote the preservation, rehabilitation and development of housing, increase home ownership, and support community and commercial initiatives. To accomplish HUD's goals of providing decent housing, a suitable living environment and expanded economic opportunities for low-to-moderate income residents, the Department established priority program areas in its Five-Year Consolidated Plan. The long-term priority areas for community planning and development initiatives in the Five-Year Consolidated Plan were:

- Homebuyer Assistance and Housing Recycling and Preservation
- Affordable Housing Production
- Community Organization Support, and
- Community and Commercial Development

For its FY 2004 Action Plan, DHCD consolidated its Five-Year priority areas into three main goal areas. These are:

1. Increasing home ownership opportunities;
2. Increasing the supply of affordable housing for renters and owners; and
3. Supporting neighborhood revitalization with economic opportunity for low-to-moderate income residents.

SUMMARY:

In FY 2004, the District received \$42,701,000 from the four entitlement grants applied for in the FY 2004 Consolidated Action Plan. Of this total, the HOPWA grant of \$9,862,000 for the Statistical Metropolitan Area (SMA) went directly to, and was administered by, the HIV/AIDS Administration of the D.C. Department of Health (DOH).

During Fiscal Year 2004, considerable progress was made in meeting the need for affordable housing, both in home ownership and in the supply of rehabilitated and new units; and in meeting non-housing community development goals for the District of Columbia. (Table 1) However, during this period, rapid changes in the housing market, beyond the control of the government, have had a negative impact on the affordability of housing, even with substantial subsidy.

Table 1: Summary of Accomplishments, FY 2004

Rehabilitated multifamily and single family units funded	984*	Tenant Purchase Assistance	109
New multi/single family units funded	566	Jobs created through DHCD-Funded projects	4,305
Home Buyer Assistance Loans	231	Housing Counseling (combined)	15,996
Eviction Prevention Grants	395	Businesses with technical support	1,581
Families Supported In Shelter	209	Business Micro-loans, H St. CDC	4
Shelter beds rehabbed	1,399	Community/Commercial facilities	7

* Includes 29 Single Family Residential Rehab loans/grants

Among other accomplishments in FY 2004, DHCD:

- Held the Third Annual Fair Housing Symposium, and continued its outreach program by distributing Spanish, English and Vietnamese brochures; and began translating brochures into Chinese and Amharic.
- Continued to implement the DC Housing Production Trust Fund to supplement federal funding in creating affordable housing,
- Revised procedures to incorporate the Lead-Safe Housing Rule into DHCD housing programs; coordinated a multi-agency lead-safe initiative; and implemented the activities funded by the two HUD lead-safety grants;
- Continued to improve program delivery, the processes for applying to DHCD for funding, and the monitoring protocols for sub-recipients; and

- Succeeded in expanding funding for homeownership by amending the Home Purchase Assistance Program (HPAP) regulations to increase funding for first-time home purchasers in the HPAP program. The homeownership incentive program offered to police, firefighters and teachers was extended to Emergency Medical Technicians.

CAPER Report Parts:

This CAPER report is made up of the following parts:

- Part 1. Accomplishments:** Progress toward Five-Year and One-Year Goals for Affordable housing (home ownership opportunities and increasing the supply); Homelessness prevention and support services (ESG); Non-Housing Community Development, Monitoring and Performance Measurement. (Page 7)
- Part 2. Assessments:** Obstacles and corrective actions; Geographic Targeting, DHCD Funding Process, Institutional Structure and Coordination; and Outreach and Public Participation. (Page 21)
- Part 3. Funding:** Sources And Uses -- Community Development Block Grant (CDBG) Expenditures Related To Priorities, Low-Moderate Income Benefit, Pursuance Of Resources And Financial Summary. The Home Investment Partnership Program (HOME)--Distribution Of Funds Among Identified Needs, Contracting Opportunities, Inspections, Affirmative Marketing And Other Program Information. (Page 35)
- Part 4.** Program Performance, **CDBG, HOME, ESG** and Continuum of Care (Page 48)
- Part 5. HOPWA**—Housing Opportunities For Persons With AIDS Performance Report Describes Activities, Successes And Failures, Improvements, Method Of Sponsor Selection And Monitoring. (Page 69)
- Part 5. Special Initiatives:** Neighborhood Revitalization Strategy Areas, and Section 108 (Page 81)
- Part 6. Public Participation**—Notice of Availability; Process, Responses. (Page 87)

APPENDICES (PAGE 91 PP)

- A Development Finance Projects**
- B Neighborhood Based Activities Grants**
- C DHCD program Descriptions**
- D Map and Census Tract Data**
- E Reports**